

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15689.87

In Re Application Of: Yoko Kikuta et al.

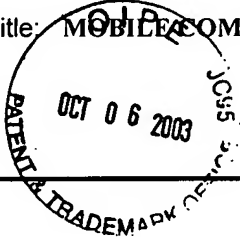
Serial No.  
10/044,854

Filing Date  
November 7, 2001

Examiner

Group Art Unit  
2681

Title: **MOBILE COMMUNICATION METHOD AND MOBILE COMMUNICATION SYSTEM**



**RECEIVED**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

OCT 10 2003

Technology Center 2000

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15689.87

In Re Application: Yoko Kikuta et al.

Serial No.

10/044,854

Filing Date

November 7, 2001

Examiner

Group Art Unit

2681

MOBILE COMMUNICATION METHOD AND MOBILE COMMUNICATION SYSTEM



RECEIVED  
OCT 10 2003

**Payment of Fee**

Technology Ce

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

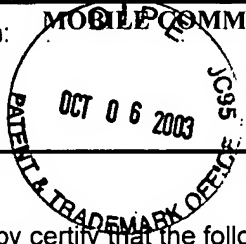

Dated: October , 2003

ADRIAN J. LEE  
Attorney for Applicant  
Reg. No.: 42,785  
Customer No.: 022913

CC:

OCT 08 2003

2681

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>			Docket No. 15689.87
Applicant(s): Yoko Kikuta et al.			#8 S. J.
Serial No. 10/044,854	Filing Date November 7, 2001	Examiner	Group Art Unit 10-14-03 2681
Invention: <b>MOBILE COMMUNICATION METHOD AND MOBILE COMMUNICATION SYSTEM</b>			
<div style="text-align: center;"></div>			
I hereby certify that the following correspondence:		<b>RECEIVED</b> OCT 10 2003 Technology Center 2600	
(see below)			
(Identify type of correspondence)			
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on			
October 6, 2003 (Date)			
ADRIAN J. LEE (Typed or Printed Name of Person Mailing Correspondence)			
 (Signature of Person Mailing Correspondence)			
EV 302234015 US (("Express Mail" Mailing Label Number)			
Note: Each paper must have its own certificate of mailing.			
Transmittal for Information Disclosure Statement (2 pages; duplicate); Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages); Form PTO-1449 Listing of References (1 page); Legible Copy of References (1 ref.); Certificate of Express Mail (EV302234015US); Acknowledgment Postcard			

EXPRESS MAIL  
OCT 06 2003  
PATENT & TRADEMARK OFFICE  
In re application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Yoko Kikuta et al.

RECEIVED

OCT 10 2003

## Technology Center 2600<sup>®</sup>

Art Unit  
2681

Assistant Commissioner for Patents  
Washington, DC 20231

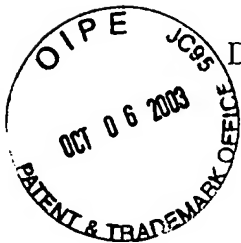
Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), a concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided. The concise explanation for Japanese Publication No.: 11234748A is provided in the English language abstract of that publication.



DATED October 6<sup>th</sup>, 2003 .

**RECEIVED**

OCT 10 2003

Technology Center 2600

Respectfully submitted,

A handwritten signature in black ink, appearing to be "AJL", written over a horizontal line.

Adrian J. Lee  
Attorney for Applicant  
Registration No. 42,785  
Customer No.: 022913

WORKMAN NYDEGGER  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 533-9800  
Facsimile: (801) 328-1707

